

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Partho Sarothi Ray and Saumitra DasApplication No./Patent No.: 10/598,700Filed/Issue Date: September 8, 2006

Titled:

SMALL SYNTHETIC RNA, A METHOD OF PREPARING THE SAME AND USES THEREOF

Indian Institute of Science

, a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

July 8, 2010

Date

Xiaoyue Chen

Attorney of Record

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSIGNMENT

WHEREAS, we, **Partho Sarothi Ray** of Bangalore, India, and **Saumitra Das** of Bangalore, India, and citizens of India (the "Assignors"), have invented a new and useful improvement in

**SMALL SYNTHETIC RNA, A METHOD OF PREPARING THE SAME
AND USES THEREOF**

PCT Application No. PCT/IN05/00078 filed March 11, 2005

U.S. Application No. 10/598,700 filed September 08, 2006

for which we are about to make or have made application for Letters Patent of the United States; and

WHEREAS, Indian Institute of Science located at Bangalore 560012, India, is desirous of acquiring all rights, title and interests in and to the invention set forth in said application, and any and all Letters Patent which may be granted for or upon said invention in the United States of America, and anywhere in the world.

NOW THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we, the Assignors, have sold, assigned and transferred, and by these presents to sell, assign and transfer unto the said Indian Institute of Science, the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by us preparatory to obtaining Letters Patent of the United States therefor;
- (b) said application;
- (c) any and all refilings, divisions, continuations and continuations-in-part of said application;
- (d) any and all Letters Patent of the United States of America which may issue from said application, refilings, divisions, continuations and continuations-in-part;
- (e) any and all reissues and reexaminations of said Letters Patent of the United States of America;
- (f) any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America;
- (g) any and all refilings, divisions and continuations of said foreign-filed applications;
- (h) all claims, causes of action and damages for past infringement, if any, of said applications;

- (i) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and
- (j) any and all extensions of, and additions to, said Letters Patent of countries foreign to the United States of America.

We, the Assignors, further agree that upon request we will provide promptly all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to Indian Institute of Science, or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ALL of the above shall be held and enjoyed by said Indian Institute of Science for its own use and benefit, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

19.06.2010
Date:

Partho Sarothi Ray
Partho Sarothi Ray

Signed in my presence and acknowledged to be an assignment of the applications herein above referred to:

WITNESS

KOLKATA/WEST BENGAL, INDIA
Signed At (City/State)

DIPAK KUMAR PORIA
Name

Dipak K. Poria
Signature

19.06.2010
Date

WITNESS

KOLKATA/WEST BENGAL (INDIA)
Signed At (City/State)

ASHISH GOYAL
Name

ASHISH GOYAL
Signature

19.06.2010
Date

April 20, 2010

Date:

Saumitra Das.

Saumitra Das

Signed in my presence and acknowledged to be an assignment of the applications herein above referred to:

WITNESS

WITNESS

Signed At (City/State)

BANGALORE / KARNATAKA

Name

DEBJIT KHAN

Signature

Debjit KhanDate April 20, 2010

Signed At (City/State)

Bangalore.

Name

SHARATH CHANDRA. A

Signature

Sharath ChandraDate April 20 / 2010